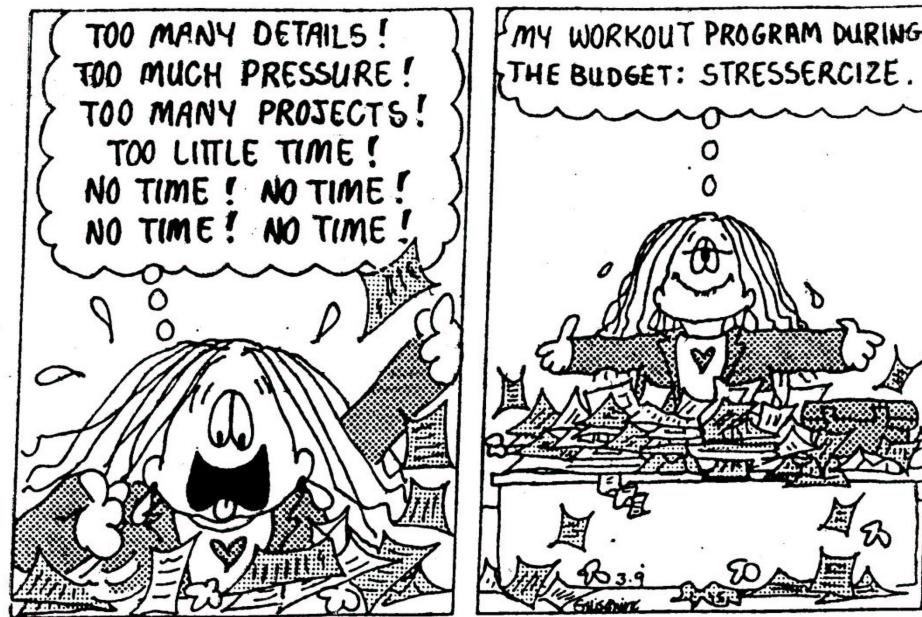


Budget Exhibits Overview and Budget Exercise





Typical Facilities Resources Year

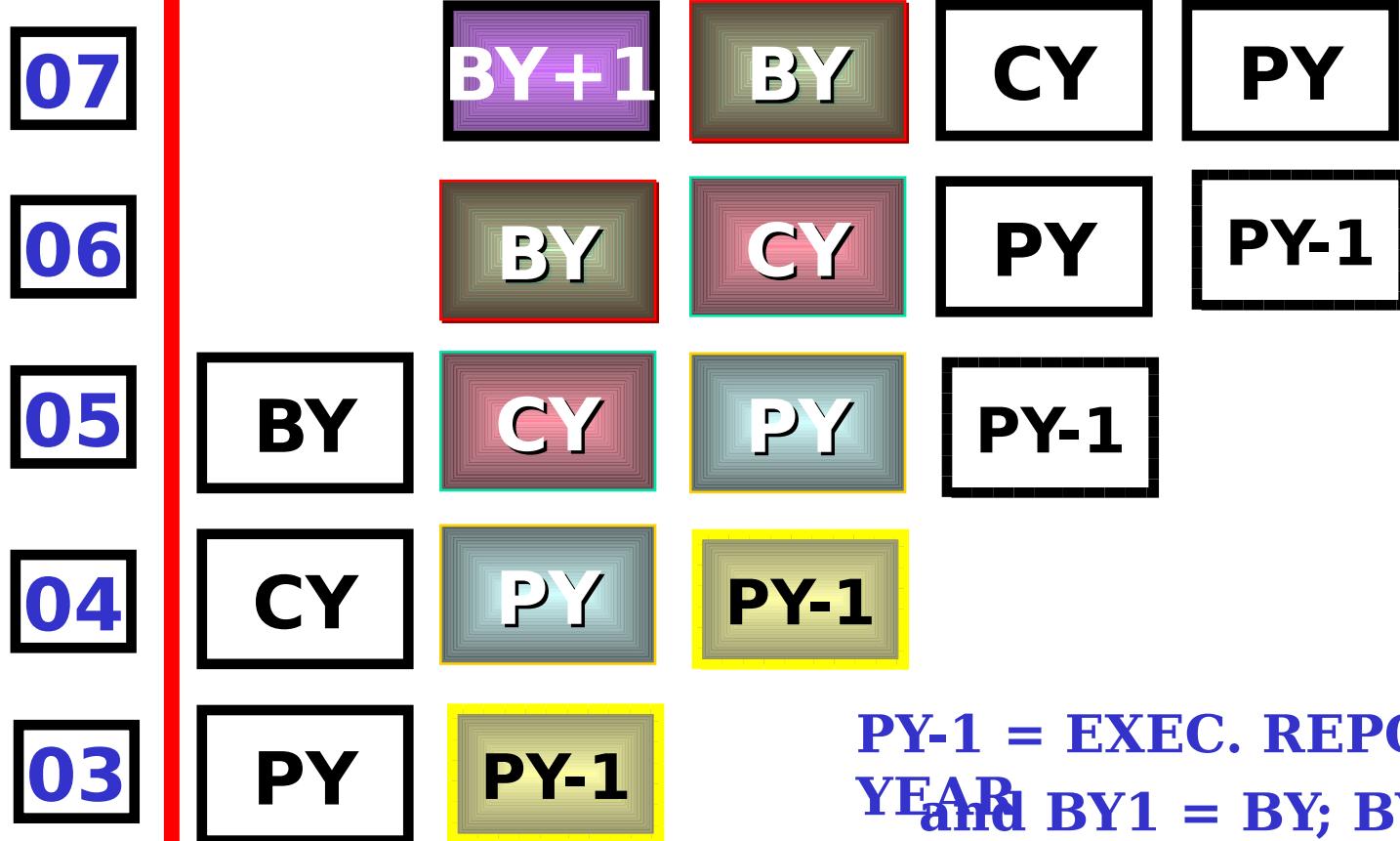
<u>Facility Condition</u>	<u>Resources</u>
Begin scheduled Condition Inspections	
IRRS Final (for previous FY)	Submit Year End Execution Report
Receive AIS Feedback from Region ?	POM Issue Papers due to Region/Claimant ?
	Prepare Projected UCAR, & Unmodeled Reqs.
	Mid-Year Review
	Attend Region Budget Workshop / Receive Budget Guidance
	Receive controls & complete OPPLANS, Exh. RUP, MAP, SRM BS-1, Projected UCAR, Unfunded Exh.
	<i>Activity Submit Budget to Region</i>
	<i>Claimant submit Budget to FMB (NAVCOMPT)</i>
Approx. first week in Sept. - AIS data due to Region	NOTE: REQUIRES CONTINUOUS UPDATES THROUGHOUT THE YEAR: Plant Account (iNFADS), MAP, PW workload databases including Special Proj. priority lists and MILCON IPL.
30 Sep - End of Inspection Year	

YEARS INCL. IN BUDGET

Year 06/07 (Prepare Spring '04)

Designations

07 BUDGET
(Prepare Spring
'05)



PY-1 = EXEC. REPORT
YEAR
and BY1 = BY; BY2 =
BY+1

03 04 05 06 07

YEAR OF SUBMISSION

What are we trying to

Inventory/Identified
Work **do ???**

E/S CALLS, SJOS,
MINOR/SPECIFIC
WORK



PRIME USE 610-

10

E/S CALLS, SJOS,
MINOR/SPECIFIC
WORK



PRIME USE 171-

10

E/S CALLS, SJOS,
MINOR/SPECIFIC
WORK



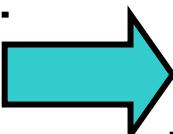
PRIME USE 441-

10

ETC.

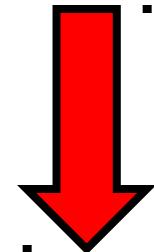
Work
Management

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BUDGET
EXHIBITS
INCLUDING
OP-PLANS

**(PREPARED
by CAC)**



MAINT.
ACTION
PLAN

Categoriz
e

DoD Category
Groups

Navy Investment
Cat.

Report
Reqmt
**8 DoD Facility
Classes:**

OPS & TRNG

MAINT & PROD

RDT&E

SUPPLY

HOSP & DENTAL

ADMIN

**HSG &
COMMUNITY**

**UT & GRND
STRUCTS**

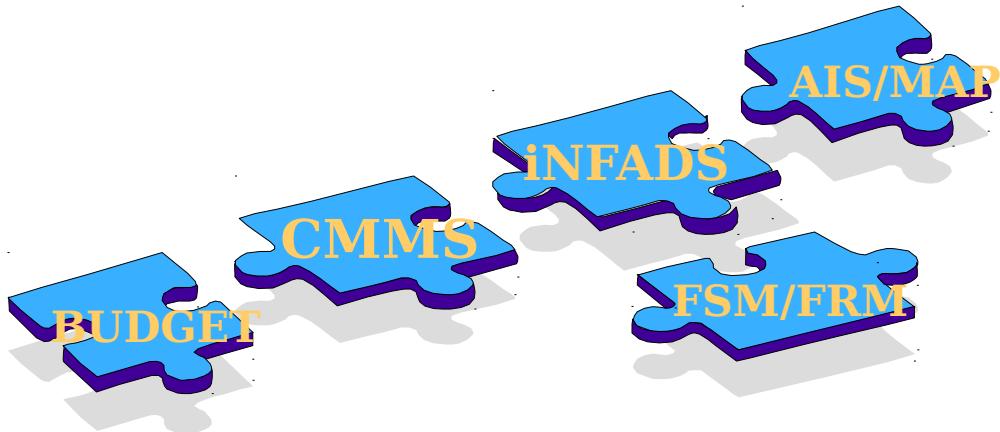


for **BS-1**
PREPARED by
ACTIVITIES and
for use by FMB
for OUSD
EXHIBITS

Facility Exhibits Required for FMB/CNO Submission

■ BS-1 (Requires feeder exhs.)

- Unfunded Requirements
- OP-27P (May be prepared by claimant)
- Year End Execution (PB-27 ?)



“Feeder” Budget Exhibits – from concept to Fiscal Plan

- OPPlans – Sustainment, R&M(Repair), R&M(Const), NF
- Resource Utilization Plan (RUP)
- Maintenance Action Plan (MAP)
- SRM BS-1 (prepare from OPPlans)
- Unfunded Justifications

Exhibits included in EXCEL Workbook with automatic data transfer among Exhibits; all Exhibits not required by all Regions.

Labor Class Codes (LCC)

- 01 - Service Calls (< 16 hours)
- 02 - Emergency Calls (<16 hrs & emerg.)
- 03 - PMI (now incl. in SRM)
- 04 - Unestimated SJOs
- 05 - Estimated SJOs
- 06 - Minors (generally >16 and <40-60 hrs)
- 07 - Specifics (> 40-60 hrs)

Sustainment & R&M(Repair)

Resource Utilization Plan

FY- NAVY BUDGET MAINTENANCE AND REPAIR RESOURCE UTILIZATION PLAN (\$000.00)

(ACTIVITY M&R FUNDS ONLY, NO SPECIAL PROJECTS OR MINOR CONSTRUCTION)

(ALL COSTS W/O MILITARY LABOR)

See handout
Exh. RUP

UIC: _____

POC: _____

DSN: _____

Command: _____

Work Classifications	Labor Class Code (2)	Cost Account No. (3)	Past Year		FY- Current Year		FY- Budget Year		
			PY Projected Expenses (4)	Total CY Reqt (5)	Current Year Plan (6)	CY Unfunded (5) - (6)	Total BY Reqt (8)	Budget Year Plan (9)	BY Unfunded (8) - (9)
1. R&M(Repair) Minors/ Specifics :									
A. AIS Critical DC-1									
B. AIS Deferrable DC-1									
C. Non-AIS R&M(Repair) DC-1 LCC06/07									
D. SUBTOTAL R&M(Repair)									
2. Sustainment Minors/ Specifics :									
A. AIS Critical DC-1									
B. AIS Deferrable DC-1									
C. Non-AIS SUSTAINMENT DC-1 LCC06/07									
3. Sustainment SJOS									
4. E/S Calls									
5. PW SRM Management									
SUBTOTAL SUSTAINMENT									
(FSM)									
6. TOTAL SUSTAINMENT & R&M(Repair)									

Total of these boxes = MAP Total

Subtotals must agree with ST and R&M(Repair) OPPLAN totals for each year

Note 1: Unfunded write-ups must be prepared for EACH ENTRY in unfunded cols.; see Comptroller. Separate justifications by SII may be required.

Note 2: R&M(Repair) requirements, cols. 5,8, and 11 based on the DoD Facilities Recapitalization Metric (FRM).

Note 3: Sum of lines 1A,1B, 2A and 2B developed and work program depending on claimant Repair

Must agree with SUM of ST & R&M(Repair) part of RM controls for each year

RUP MANAGEMENT METRICS

EXH. LINES	METRIC	TRGT	CY (COL. 6) TRGT	COMMENTS
(3.) / (6.)	% Maint. & Repair spent on SJOs	10% MAX.	10% MAX.	Eliminate "blank ch
(1.A.+ 2.A.) / (6.)	% Maint. & Repair spent on DC-1 CMAR	35% MIN.	40% MIN.	Maximize spending
(1.B.+ 2.B.) / (6.)	% Maint. & Repair spent on DC-1 DMAR	5% MAX.	5% MAX.	Minimize spending
(1.C.+ 2.C.) / (6.)	% M&R spent on DC-1 NON-AIS LCC06/07	5% MAX.	N/A for CY	Minimize spending h
(4.) / (6.)	% Maint. & Repair spent on E/S CALLS	10% MAX.	10% MAX.	How's your PM pro
(5.) / (6.)	% Maint. & Repair spent on SRM Mangmnt	35% MAX.	35% MAX.	Are you lean and m
(1.A.+ 2.A.) / (1.D.+ 2.A.+2.B.+2.C)	% LCC 06/07 Discretionary spent on CMAR	Maximiz		
(1.B.+ 2.B.) / (1.D.+ 2.A.+2.B.+2.C)	% LCC 06/07 Discretionary spent on DMAR	Minimize		
(1.C.+ 2.C.) / (1.D.+ 2.A.+2.B.+2.C)	% LCC 06/07 Discretionary spent on NON-AIS (PY only)	Minim		Are you using your discretionary \$\$ wisely?

Work Classifications	Labor Class Code (1)	Cost Account No. (3)	Past Year	FY- Current Year			FY- Budget Year		
			PY Projected Expenses (4)	Total CY Reqt (5)	Current Year Plan (6)	CY Unfunded (5) - (6)	Total BY Reqt (8)	Budget Year Plan (9)	BY Unfunded (8) - (9)
1. R&M(Repair) Minors/ Specifics :									
A. AIS Critical DC-1									
B. AIS Deferrable DC-1									
C. Non-AIS R&M(Repair) DC-1 LCC06/07									
D. SUBTOTAL R&M(Repair)									
2. Sustainment Minors/ Specifics :									
A. AIS Critical DC-1									
B. AIS Deferrable DC-1									
C. Non-AIS SUSTAINMENT DC-1 LCC06/07.									
3. Sustainment SJOs									
4. E/S Calls									
5. PW SRM Management									
SUBTOTAL SUSTAINMENT (FSM)									
6. TOTAL SUSTAINMENT & R&M(REPAIR)									

Discretionary Spending

“Fixed” Spending

Deferred Sustainment; trgt = 0

Metrics calculated on these columns

See handout
RUP METRICS

Maintenance Action Plan (MAP)

DATE: _____ (MAP) -
POC: _____

MAP SHOULD REFLECT ACC
OF CRITICAL DC-1 AIS SUSTAINMENT
DEFICIENCIES and DC-1 R&M DEFICIENCIES
REQUIRED TO IMPROVE IRRS Q3 and Q4
CONDITION RATINGS.

MAP prepared for Current Year !!!!!

COMMAND:

BUDGET

EXEC. MAP

See handout Exh. MAP

TOTALS for each Cost Account must agree with OPPLAN totals for the same CAC

**DO NOT include Minor
Construction or
Claimant Scope work**

**TOTALS must agree with Budget Exh.
RUP**

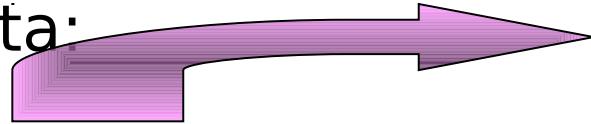
Execution part of MAP (later slide)

DoD Financial Mgmt Regulation

- Annual Financial Statement

requires...

Basis for DoD
Data:



Tot Navy FSM minus
Tot Navy ST
funding. Exhibit

RUP Deferred
Sustainment is part
of this amt.

All AIS
R&M Line
Items

Annual Sustainment FY 200x			
Property Type	1. Required	2. Actual	3.
Difference Bldgs, Strucs, & Utilities			

Annual Deferred Sustainment Trend			
Property Type	FY200x-3	FY200x-2	FY200x-1
Difference Bldgs, Strucs, & Utilities			

Restoration & Modernization		
Requirements	End FY200x-1	End FY200x-2
Change Bldgs, Strucs, & Utilities		

The Budget Trap

1) I need a quick estimate for how much your next project will cost, Fred

2) How should I know? You haven't even told me what my next project is.

3) That's OK, I only need a rough estimate for planning purposes

4) I see where this is going; you're going to turn my wild guess into a budget. Later I'll be blamed when it's wrong.

5) No, no. I won't hold you to these numbers.

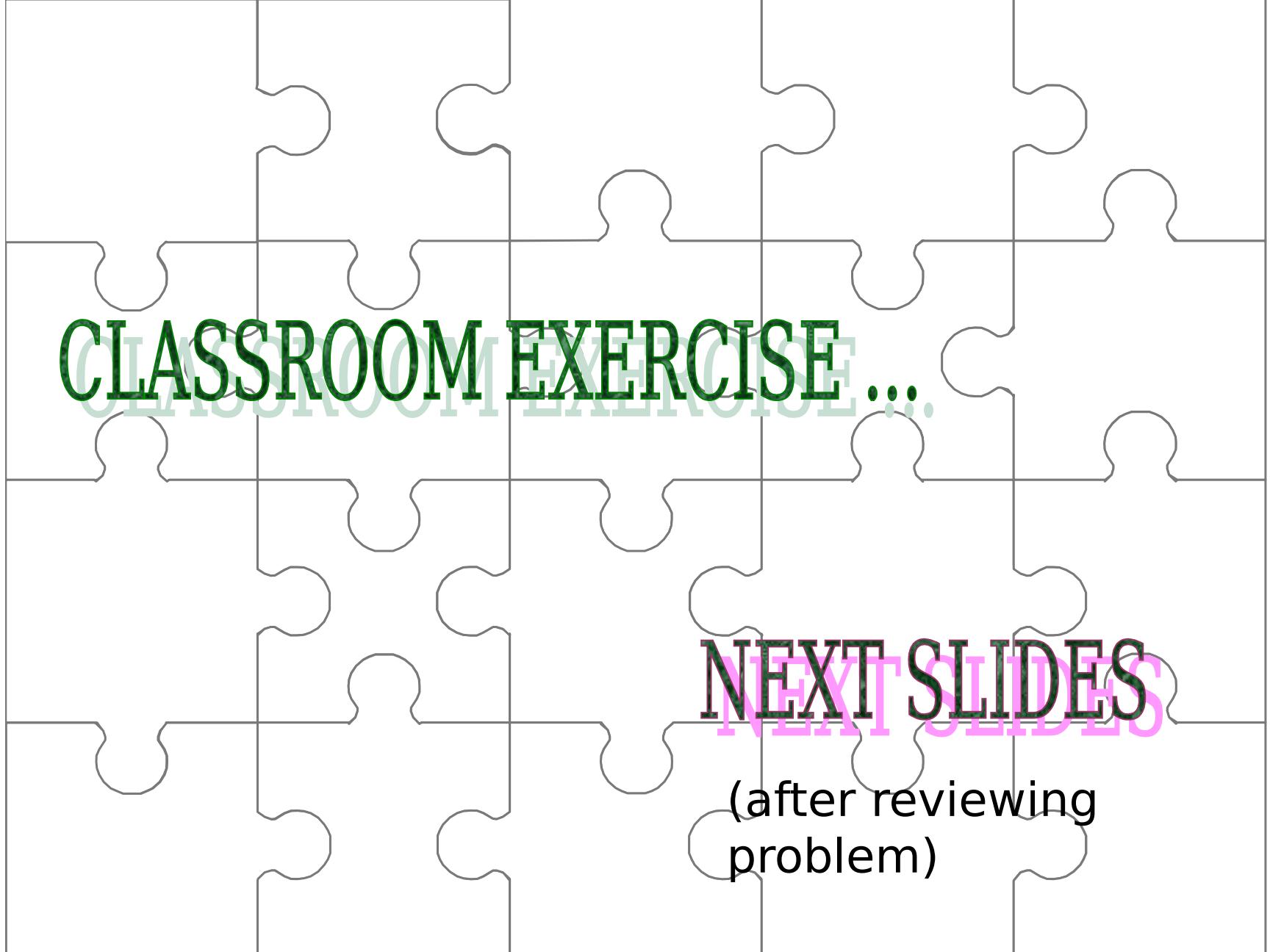
6) Well ... OK, let's say 2 million dollars.

7) Ooh... I can't afford that. I'll put you down for \$20,000.

8) Fred, you're way **over** budget; can you show me the cause?

9) Can mirrors reflect your image?

One Year Later



CLASSROOM EXERCISE ...

NEXT SLIDES

**(after reviewing
problem)**

REQUIREMENTS WORKSHEET

REQUIREMENTS WORKSHEET

R
1.

CALCULATE CY ACTIVITY O&MN SUSTAINMENT REQMNT:

A. REQMNT BASED ON FSM:

CY FSM O&MN AMOUNT (\$000): _____

ACT. FUND FACTOR (.XX) (based on Repr Proj. threshold): _____

TOTAL ACTIVITY FSM REQMNT : _____

(transfer to RUP, CY REQMNT COL.)

2. C

CALCULATE CY ACTIVITY O&MN RESTORATION & MODERNIZATION REQMNT:

A. REQMNT BASED ON RECAP PRV:

- 30 SEPT. PY-1 PRV OF FACILITIES TO BE RECAPITALIZED (\$000) : _____

- PY MILCON INFLATION FACTOR (1.XXX) : _____

- CY MILCON INFLATION FACTOR (1.XXX) : _____

- RESULTING CY RECAP PRV (\$000) : _____

- DIVIDE BY 67 YEAR RECAP RATE : _____

67

- CY R&M FUNDING BASED ON PRV (\$000) : _____

- THIS AMOUNT INCLUDES MILCON (ASSUME 70%)

AND R&M(CONST) (10%). R&M(REPAIR) REQMNT EQUALS 20% : _____

.20

- RESULTING CY R&M(REPAIR) RQMNT (\$000) : _____

- ACTIVITY FUNDING REQMNT IS THIS AMOUNT

X (1.00 MINUS THE PROJ. FACTOR) : _____

- ACTIVITY CY R&M(REPAIR) RQMNT (\$000) : _____

(1)

B. REQMNT BASED ON FUNDING REQUIRED TO IMPROVE IRRS C3/C4:

ENTER TOTAL FUNDING REQUIRED TO CORRECT DC-1

AIS DEFICIENCIES FOR ALL IRRS CATEGORY GROUPS RATED

Q3/Q4 (USE AIS "STATUS" OR "PG_YR" TO DETERMINE

AMOUNTS FOR CY) (\$000) : _____

(2)

C. TOTAL ACTIVITY CY R&M REQUIREMENT:

SUM OF (1) AND (2) ABOVE : _____

(transfer to RUP, CY REQMNT COL.)

EXERCISE SUSTAINMENT OPPLAN for CY

FY <u>SUSTAINMENT</u> OP-PLAN (\$000)					SUST. COST ACCT (CAC) (A)	IC* (B)	COST ACCOUNT DESCRIPTION (C)	R&M COST ACCT (CAC) (D)
FUNDED OVRHD. (1)	FUNDED E/S (2)	FUNDED SJ O (3)	FUNDED MINOR & SPECIF SUST. CMAR (4)	FUNDED SUST. DMAR (5)	FUNDED SUST. TOTAL (6)			
					500		711S 01 AIR OPS FACS	711R
							713S 02 COMM. FACS.	713R
							715S 03 PORT OPS FACS	715R
							792S 03 MAINT. DREDGING	792R
							712S 04 OTHER OPER FACS	712R
							741S 04 LIQ. FUEL STRG & NONPROP.	741R
							742S 04 AMMUN. STRG. FACS	742R
							717S 05 TRAINING FACS	717R
							72AS 06 AVIATION MAINT. FACS	72AR
							72SS 06 SHIP MAINT. FACS	72SR
							722S 06 PROD. & OTHR MAINT.	722R
							731S 07 RDT&E FACS	731R
							743S 08 COLD STORAGE FACS	743R
							744S 08 COVERED & OPEN STRG	744R
							751S 09 MEDICAL FACS	751R
							752S 09 DENTAL FACS	752R
							761S 10 ADMIN FACS	761R
					462		77PS 11 PERM. PARTY BQ FACS	77PR
							77TS 11 TRANSIENT BQ FACS	77TR
							77SS 11 STUDENT HOUSING FACS	77SR
							772S 12 GALLEY & MISC. BQ SUPPRT	772R
							773S 12 PERS. SUPPORT & SVCS.	773R
							12 PERS. SUPPRT/ SVCS. DEMO	773D
							NOTE: Other Demo CACs Omitted for this Exercise	
							7EPS 13 ELEC. GENERATION FACS	7EPR
							7EDS 13 ELEC. DIST. FACS	7EDR
							7HPS 13 HEAT & STEAM PLANTS	7HPR
							7HDS 13 STEAM/HOT WTR DIST FACS	7HDR
							7APS 13 AC / CHILLED WTR PLANTS	7APR
							7ADS 13 CHILLED WTR DIST. FACS	7ADR
							7SPS 13 SEW/IND WSTE TRMT & DISP.	7SPR
							7SDS 13 SEW/IND WASTE COLL. FAC.	7SDR
							7WPS 13 POT. WATER PLANT FACS	7WPR
							7WDS 13 POT. WATER DISTR. FACS	7WDR
							7NPS 13 NONPOT. WTER PLANT FACS	7NPR
							7NDS 13 NONPOT. WTER DISTR. FACS	7NDR
							78DS 13 DESAL PLANTS	78DR
							78CS 13 COMPRESSED AIR PLANTS	78CR
							78GS 13 GAS PLANTS/DIST SY S	78GR
							78MS 13 MISC. UTILITIES FACS	78MR
							78PS 14 ROADS & OTHR PV MNTS	78PR
							78SS 14 GROUND IMPROVMT STRUC	78SR
							78RS 14 RAILROAD FACS	78RR
							OVERHEAD CAC:	
							7910 15 PW SRM MANAGEMENT	
							← XFER COL. TOTS. TO EXH. RUP →	

EXERCISE R&M OPPLAN for CY

SUST. COST ACCT (CAC) (A)	IC*	COST ACCOUNT DESCRIPTION (C)	R&M COST ACCT (CAC) (D)	FY <u>R & M</u> OP-PLAN (\$000)			SUST. AND R&M TOTAL (10)
				FUNDED MINOR & SPEC. R&M CMAR (7)	FUNDED R&M DMAR (8)	FUNDED R&M TOTAL (9)	
711S	01	AIR OPS FACS	711R				
713S	02	COMM. FACS.	713R				
715S	03	PORT OPS FACS	715R				
792S	03	MAINT. DREDGING	792R				
712S	03	OTHER OPER FACS	712R				
741S	04	LIQ. FUEL STRG & NONPROP.	741R				
742S	04	AMMUN. STRG. FACS	742R				
717S	05	TRAINING FACS	717R				
72A S	06	AVIATION MAINT. FACS	72A R				
72S S	06	SHIP MAINT. FACS	72S R				
722S	06	PROD. & OTHR MAINT.	722R				
731S	07	RDT&E FACS	731R				
743S	08	COLD STORAGE FACS	743R				
744S	08	COVERED & OPEN STRG	744R				
751S	09	MEDICAL FACS	751R				
752S	09	DENTAL FACS	752R				
761S	09	ADMIN FACS	761R				
77PS	11	PERM. PARTY BQ FACS	77PR				
77TS	11	TRANSIENT BQ FACS	77TR				
77SS	11	STUDENT HOUSING FACS	77SR				
772S	12	GALLEY & MISC. BQ SUPRT	772R				
773S	12	PERS. SUPPORT & SVCS.	773R				
	12	PERS. SUPPRT/ SVCS. DEMO	773D			100	
NOTE: Other Demo CACs Omitted for this Exercise							
7EPS	13	ELEC. GENERATION FACS	7EPR				
7EDS	13	ELEC. DIST. FACS	7EDR				
7HPS	13	HEAT & STEAM PLANTS	7HPR				
7HDS	13	STEAM/HOT WTR DIST FACS	7HDR				
7APS	13	AC / CHILLED WTR PLANTS	7APR				
7ADS	13	CHILLED WTR DIST. FACS	7ADR				
7SPS	13	SEW/IND WSTE TRMT & DISP.	7SPR				
7SDS	13	SEW/IND WASTE COLL. FAC.	7SDR				
7WPS	13	POT. WATER PLANT FACS	7WPR				
7WDS	13	POT. WATER DISTR. FACS	7WDR				
7NPS	13	NONPOT. WTER PLANT FACS	7NPR				
7NDS	13	NONPOT. WTER DISTR. FACS	7NDR				
78DS	13	DESLA PLANTS	78DR				
78CS	13	COMPRESSED AIR PLANTS	78CR				
78GS	13	GAS PLANTS/DIST SYS	78GR				
78MS	13	MISC. UTILITIES FACS	78MR				
78PS	14	ROADS & OTHR PVMNTS	78PR				
78SS	14	GROUND IMPROVMT STRUC	78SR				
78RS	14	RAILROAD FACS	78RR				
OVERHEAD CAC:							
7910	15	PW SRM MANAGEMENT					
← XFER COL. TOTS. TO EXH. RUP →							
2312							

**M&R "CONTROL" (ST
SII + M&R PART OF RM
SII)**

EXERCISE RESOURCE UTILIZATION PLAN (RUP)

EXHIBIT RUP		FY- NAVY BUDGET SUSTAINMENT AND R&M (REPAIR) RESOURCE UTILIZATION PLAN (\$000.0) (ALL COSTS W/O MILITARY LABOR)						COMMAND:	
				PAST YEAR	FY- CURRENT YEAR			FY- BUDGET Y	
		LABOR	COST	PY- PROJ ECTED	TOTAL CY	CURRENT YEAR	CY UNFUNDED	TOTAL BY RQM'T	BUDGET YEAR PLAN
WORK CLASSIFICATIONS		CLASS	ACCOUNT	EXPENSES	RQM'T	PLAN	(5) - (6)	RQM'T	PLAN
	(1)	CODE	NO.	(4)	(5)	(6)	(7)	(8)	(9)
1. R&M (REPAIR) MINORS/SPECIFICS:									
A. AIS CRITICAL DC-1	06-07	VARIOUS							
B. AIS DEFERRABLE DC-1	06-07	VARIOUS							
C. NON-AIS R&M (REP) LCC 06/07	06-07	VARIOUS							
SUBTOT R&M (REPAIR)									
2. SUSTAINMENT MINORS/SPECIFICS:									
A. AIS CRITICAL DC-1	06-07	VARIOUS							
B. AIS DEFERRABLE DC-1	06-07	VARIOUS							
C. NON-AIS SUSTAINMT LCC 06/07	06-07	VARIOUS							
3. SUSTAINMENT SJ Os	03-05	VARIOUS							
4. E/S CALLS	01-02	VARIOUS							
5. PW SRM MANAGEMENT	40-44	7910							
SUBTOT SUSTAINMT (FSM)*									
TOT SUSTAINMT & R&M (REPR) **									
**THIS IS NOT TOTAL SRM or S/RM WHICH INCLUDES R&M (MINOR CONSTR.) and/or MILCON NOT INCLUDED ON THIS EXHIBIT.									
SUM OF LINES 1A, 1B, 2A & 2B, COL. 6 =					1710.0	=MAP TOTAL			

PAST YEAR SUSTAINMENT

OPPLAN

EXHIBIT PYPLAN ST			FY- NAVY BUDGET											
POC:	DSN #:	DATE:	SUSTAINMENT OP PLAN (O&M,N FUND SOURCE)											
			PART I - DETAIL DATA (\$000.0)											
(ST SII and R&M PORTION OF RM SII)														
ALL LINES SHOULD INCL. CIV. LABOR, CONTRACT, AND MATERIAL \$\$ AS APPL														
*** COMPLETE FOR PAST YEAR ONLY ***														

See PYPlan_ST handout

COST ACCT NO	COST ACCOUNT DESCRIPTION	ACTIVITY FUNDS						PYPLAN TOTAL (ACTIVITY FUNDS)
		OVER- HEAD	EMRG / SERVICE	SUST. SJ Os	AIS CRITICAL	AIS DMAR	NON- AIS	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(7A)	(8)
711S	AIR OPER. FACS.							
6B50/5	AT/FP - AIR OPER. FACS.							
	SUBTOTAL (IC-01)		0.0	0.0	0.0	0.0	0.0	0.0
713S	COMMUNICATION FACS.							
6B50/51	AT/FP - COMMUNICATION FACS.							
	SUBTOTAL (IC-02)		0.0	0.0	0.0	0.0	0.0	0.0
715S	PORT OPER. FACS.							
78PS	ROADS & OTHER PAVEMENTS							
78SS	GROUND IMPRV/MNT STRUCS							
78RS	RAILROAD FACS.							
6B50/5	AT/FP - GROUND STRUCTURES							
	SUBTOTAL (IC-14)		0.0	0.0	0.0	0.0	0.0	0.0
7910	PW SRM MANAGEMENT							
	SUBTOTAL (IC-15)		0.0	0.0	0.0	0.0	0.0	0.0

78PS	ROADS & OTHER PAVEMENTS							
78SS	GROUND IMPRV/MNT STRUCS							
78RS	RAILROAD FACS.							
6B50/5	AT/FP - GROUND STRUCTURES							
	SUBTOTAL (IC-14)		0.0	0.0	0.0	0.0	0.0	0.0
7910	PW SRM MANAGEMENT							
	SUBTOTAL (IC-15)		0.0	0.0	0.0	0.0	0.0	0.0

ALL EXHS. HAVE PART
II

SUST. CONTRACT ^{**}	LINE 2							
SUST. MATERIAL COST	LINE 3							
SUST. OTHER COST	LINE 4							
TOTAL SUST. COST (MUST = PART 1 TOT)	LINE 5							0.0

FUND "FIXED"
REQUIREMENTS FIRST

ENTER DATA IN
YELLOW CELLS

ONLY ONE
CELL FOR
OVERHEAD

TOTALS MUST
AGREE

PART II NEXT SLIDE.....

PART II

DEFINITIONS

- **Civilian Labor:** Total cost of Civ. Labor included in all Part I CACs; NOTE: FNIH is a Contract cost, not Civ. Labor.
- **Contract:** Total cost of services purchased from sources other than Navy; does not include contracts for materials or supplies.
- **Material and Supplies:** Cost of material and supplies for Part I CACs; includes Class 3 & 4 PW shop equip.
- **Other:** Costs included in Part I CACs NOT included above. Includes equip. rentals, services purchased from another Navy activity or other Govt. agency.

CURRENT YEAR SUSTAINMENT OPPLAN

EXHIBIT CYPLAN_ST		FY- NAVY BUDGET SUSTAINMENT OP PLAN (O&M,N FUND SC) PART I - DETAIL DATA (\$000.0) (ST SII and M&R PORTION OF RM)					
		ALL LINES SHOULD INCL. CIV. LABOR, CONTRACT, AND MATERI					
		*** COMPLETE FOR CURRENT YE					
		ACTIVITY FUNDS					
		"FIXED COSTS" ⁽¹⁾			"DISCRETIONARY"		
ACCT	COST ACCOUNT	OVER- HEAD	EMRG / SERVICE	SUST. SJ Os	AIS CRITICAL DC-1 SUS. -LCC 06/07	AIS DMAR DC-1 SUS. LCC 06/07	CY PLAN TOTAL (ACTIVITY FUNDS)
NO	DESCRIPTION	CAN	LCC	LCC	(6)	(7)	(8)
(1)	(2)	(3)	(4)	(5)			
711S	AIR OPER. FACS.						
6B50/51	AT/FP - AIR OPER. FACS.						
	SUBTOTAL (IC-01)			0.0	0.0	0.0	0.0
713S	COMMUNICATION FACS.						
6B50/51	AT/FP - COMMUNICATION FACS.						
	SUBTOTAL (IC-02)			0.0	0.0	0.0	0.0
78PS	ROADS & OTHER PAVEMENTS						
78SS	GROUND IMPRVMNT STRUCS						
78RS	RAILROAD FACS.						
6B50/51	AT/FP - GROUND STRUCTURES						
	SUBTOTAL (IC-14)			0.0	0.0	0.0	0.0
7910	PW SRM MANAGEMENT						
	SUBTOTAL (IC-15)			0.0	0.0	0.0	0.0
TOTAL CY SUST. PLAN\$\$		0.0	0.0	0.0	0.0	0.0	0.0
CHECK: TOTAL CY SUST. \$\$ above MUST =						0	

See CYPlan_ST
handout

CAC ENTRIES IN AIS
COLS. MUST AGREE
WITH MAP ENTRIES
BY CAC FOR
SUSTAINMENT FOR
CURRENT YEAR

"CHECKS"
AGAINST
CONTROLS ARE
PROVIDED ON
EACH
SPREADSHEET

ST AT/FP COSTS
MAY BE ZERO FOR
EACH YEAR

R&M(REPAIR) OPPLAN

See Plan_RM(RPR)
handout

EXH. PLAN_RM(RPR)
POC:
DSN #:
DATE:

FY- NAVY BU
R&M(RPR) OP PLAN (O&M,N,F)

PART I - DETAIL DATA (\$000s)

(R&MRepair) PORTION OF RM

ALL LINES SHOULD INCL. CIV. LABOR, CONTRACT, AND MATERI/

**"R" & "D"
CACs**

COST ACCT NO	COST ACCOUNT DESCRIPTION	ACTIVITY FUNDS					
		FY- PAST YEAR			FY- CURRENT YR		
		AIS CRITICAL	AIS DMAR	AIS LCC 06/07	PAST YR TOTAL (ACTIVITY FUNDS)	AIS CRITICAL	AIS DMAR
711R	AIR OPER. FACS.						
6B50/51	AT/FP - AIR OPERATIONAL FACS.						
711D	DEMO - AIR OPERATIONAL FACS.						
SUBTOTAL (IC-01)		0.0	0.0	0.0	0.0	0.0	0.0
713R	COMMUNICATION FACS.						
6B50/51	AT/FP - COMMUNICATION FACS.						
713D	DEMO - COMMUNICATIONS FACS.						
SUBTOTAL (IC-02)		0.0	0.0	0.0	0.0	0.0	0.0
715R	PORT OPER. FACS.						
792R	MAINT. DREDGING						
<hr/>							
78PR	ROADS & OTHER PAVEMENTS						
785R	GROUND IMPRVMT STRUCS						
78RR	RAILROAD FACS.						
6B50/51	AT/FP - GROUND STRUCTURES						
781D	DEMO - GROUND STRUCTURES						
SUBTOTAL (IC-14)		0.0	0.0	0.0	0.0	0.0	0.0
7910	PW SRM MANAGEMENT						
SUBTOTAL (IC-15)		0.0	0.0	0.0	0.0	0.0	0.0
TOTAL R&MRepair) PLAN \$\$		0.0	0.0	0.0	0.0	0.0	0.0

PAST YEAR and
CURRENT YEAR
ON THE SAME
EXHIBIT; ALSO,
SPREADSHEET
PRORATES BY and
BY+1 BASED ON
CONTROLS

CAC ENTRIES IN
AIS COLS. MUST
AGREE WITH MAP
ENTRIES BY CAC
FOR R&M(RPR)
FOR CURRENT
YEAR

SPREADSHEET
S HAVE BUILT-
IN "CHECKS"

R&M(CONST) OPPLAN - ALL

YEARS

EXH. PLAN_RM(CNST)

FY- NAVY BUD

RM(CNST) OP PLAN (O&M,N)

POC: _____

PART I - DETAIL DATA

DSN #: _____

(RM(Const) PORTION)

DATE: _____

ALL LINES SHOULD INCL. CIV. LABOR, CONTRACT, AN

NOTE: R&M (CONST) LINES EXCLUDE O&MN FUNDED NEW FOOTPRINT (SEE NF SPREADSHEET)

		ACTIVITY FUNDS			
COST	COST ACCOUNT	FY- PY	FY- CY	FY- BY	FY- BY+1
		COST W/O	COST W/O	COST W/O	(IF NEEDED)
ACCT	DESCRIPTION	MIL	MIL	MIL	COST W/O
NO		LAB	LAB	LAB	MIL LAB
(1)	(2)	(3)	(4)	(5)	(6)
711R	AIR OPER. FACS.				
6B50/51	AT/FP - AIR OPER. FACS.				
	SUBTOTAL (IC-01)	0.0	0.0	0.0	0.0
713R	COMMUNICATION FACS.				
6B50/51	AT/FP - COMMUNICATION FACS.				
	SUBTOTAL (IC-02)	0.0	0.0	0.0	0.0
715R	PORT OPER. FACS.				

**THIS EXH.
DOES NOT
INCLUDE NEW
FOOTPRINT
(see NEXT
SLIDE)**

78PR	ROADS & OTHER PAVEMENTS				
78SR	GROUND IMPRVMNT STRUCS				
78RR	RAILROAD FACS.				
6B50/51	AT/FP - GROUND STRUCTURES				
	SUBTOTAL (IC-14)	0.0	0.0	0.0	
7910	PW SRM MANAGEMENT				
	SUBTOTAL (IC-15)	0.0	0.0	0.0	
	TOTAL RM(CONST) PLAN \$\$	0.0	0.0	0.0	

**See Plan_RM(CNST)
handout**

NEW FOOTPRINT OPPLAN - ALL YEARS

See Plan_NF
handout

EXHIBIT PLAN_NF		FY- NAVY BUDGET NEW FOOTPRINT (NF) PLANNED FUNDING (O&M,N FUND SOURCE) - NF SII ONLY PART I - DETAIL DATA (\$000.0)											
POC:	DSN #:	NF FUNDS		ACTIVITY FUNDS									
COST	ACCT	COST ACCOUNT NO		DESCRIPTION	BS-1	FAC.	TYPE	FY- PY	FY- CY	FY- BY	FY- BY+1	FY- PY	FY- CY
		(1)	(2)					COST W/O	COST W/O	COST W/O	(IF NEEDED)	COST W/O	COST W/O
								MIL	MIL	MIL	COST W/O	MIL	MIL
								LAB	LAB	LAB	MIL LAB	LAB	LAB
								(3)	(4)	(5)	(6)	(7)	(8)
	711N	AIR OPERATIONAL FACS.		OT									
	6B50/ 51	AIR OPERATIONAL FACS.		OT									
	712N	OTHER OPERATIONAL FACS		OT									
	6B50/ 51	OTHER OPERATIONAL FACS.		OT									
	713N	COMMUNICATIONS FACS		OT									
	6B50/ 51	COMMUNICATIONS FACS.		OT									
	715N	PORT OPERATIONAL FACS.		OT									
	6B50/ 51	PORT OPERATIONAL FACS.		OT									
	717N	TRAINING FACS.		OT									
	6B50/ 51	TRAINING FACS.		OT									
	720N	MAINT. AND PROD. FACS.		MP									
	6B50/ 51	MAINT. AND PROD. FACS		MP									
	730N	RDT&E FACS.		RD									
	6B50/ 51	RDT&E FACS.		RD									
	740N	SUPPLY FACS.		SU									
	6B50/ 51	SUPPLY FACS.		ETC									
				SU									

6B50/51
CACs but
need to
report
by Fac.
Type for
BS-1

Budget Exhibit BS-1 (PW)

sections)

COMP BS-1	LINE (1)	SRM BUDGET AREA - NOTES (2)	FY __ PY-1 (EXEC. YR) (IF NEEDED)	FY __ PY	FY __ CY	FY __ BY (6)
		LEASE PAYMENTS (CANs 9320, 99SL, 99H5)				
6. I.	Payments to GSA (000)	(enter from PB-270ES) N/A IN EUROPE				
6. I.	GSA Floor Space (KSF)	(enter from PB-270ES) N/A IN EUROPE				
6. J.	NON-GSA Lease Payments (000)	(enter from PB-270ES)				
6. J.	NON-GSA FI Space (KSF)	(enter from PB-270ES)				
1. BUDGET CONTROLS				THIS SECTION NO LONGER NEEDED or NEEDS REVISION		
1.a.1.	BSM_ AG SII PM total (\$000)	Automatic transfer from DATA TAB	}			
1.a.2.	BSM_ AG SII QM total (\$000)	Automatic transfer from DATA TAB	}			
1.a.3	BSM_ AG SII CT total (\$000)	Automatic transfer from DATA TAB	}			
1.a.4.	BSM_ AG SII DE total (\$000)	Enter data; PROBABLY ZERO	}			
	TOTAL BSM_ (\$000)		}			
2. FUNDED PROGRAM - SRM						
2.a.ST	SUSTAINMENT (\$000)	Automatic transfer from OPPLANS				
2.a.RM	REST. & MODERN. (\$000) - INCL. NF & CT	Trans. from OPPLANS				
2.a.DE	DEMOLITION (\$000)	Equals SII DE costs ONLY (Line 1.a.4.)				
	TOTAL SRM (\$000)	(MUST AGREE WITH SECTION 1. TOTAL)				
3.	3. ANNUAL SUSTAINMENT REQUIREMENT (\$000) (FSM \$\$ incl. DREDGING)					
4.	4. ANNUAL DEFERRED SUSTAINMENT (3. minus 2.a.ST)					
	5. TOTAL SRM by FAC. TYPE (\$000)	(ST, RM, NF, DE by CAC; prorate CT)				
5. OT	OPERATIONS and TRAINING	Automatic transfer from OPPLANS				
5. MP	MAINTENANCE and PRODUCTION	Automatic transfer from OPPLANS				
5. RD	R,D,T & E	Automatic transfer from OPPLANS				
5. SU	SUPPLY	Automatic transfer from OPPLANS				
5. MD	HOSPITAL and MEDICAL	Automatic transfer from OPPLANS				
5. AD	ADMINISTRATIVE	Automatic transfer from OPPLANS				
5. FH	FAMILY HOUSING	Obtain from Fam Hsg data				
5. UP	UNACCOMPANIED PERSONNEL HOUSING	Automatic transfer from OPPLANS				
5. CO	COMMUNITY	Automatic transfer from OPPLANS				
5. UG	UTILITIES and GROUND IMPROVEMENT STRUCTURES	Trans. from OPPLANS				
	TOTAL SRM	(MUST AGREE WITH SECTION 2. TOTAL)				

SAMPLE CY Unfunded List

PRI.	TITLE	SII	UNFUNDDED
1.	R&M(REPAIR) (from EXH.	R	(\$000) _____
2.	DEFERRED SUSTAINMENT (from EXH. RUP)	M	ST _____
3.	FACILITIES MGMT (from Srvc Level Unit Cost Calcs.)	FP	NOTE: Priorities are PW priorities; will probably change when combined with other station
4.	FACILITY SERVICES (from Srvc Level Unit Cost Calcs.)	FX	unfundeds; <u>prepare for EACH year CY thru BY+1.</u>
5.	NEW FOOTPRINT (from NF RPT)	NF	
6.	R&M(CONST) (from AIS RPT)	R	
		M	

SAMPLE Unfunded Form

DEPARTMENT OF THE NAVY OPERATIONS AND MAINTENANCE, NAVY

REGION/ STAND ALONE ACTIVITY FY-xx UNFUNDDED REQUIREMENTS DETAIL

NAME _____
PRIORITY _____

	FUNDED IN <u>(PY)</u>	FUNDED IN BUDGET/ <u>CONT (CY)</u>	TOTAL REQUIRED <u>IN (CY)</u>	CY <u>DEFICIT</u>	BY <u>DEFICIT</u>	BY+1 <u>DEFICIT</u>
--	-----------------------------	--	-------------------------------------	----------------------	----------------------	------------------------

FUNDS:

PERS COMPENS
OTHER COSTS

_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____

TOTAL

_____	_____	_____	_____	_____	_____	_____
-------	-------	-------	-------	-------	-------	-------

LABOR:

WORKYEARS
END STRENGTH

_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____

PROGRAM DESCRIPTION:

EXPLANATION OF DEFICIENCY:

IMPACT IF NOT FUNDED:

All narratives must address Mission or Quality of Service Impacts

Year End Execution Exhibits

Required for CNO/FMB submission:

- PB-27s – Sustainment, R&M, NF
(may also have to include Mil. Labor?) (may be eliminated)
- SRM BS-1 ?

Regions may require these:

- Resource Utilization Plan (RUP)
- Maintenance Action Plan (MAP)

Execution PB-27

- CACs in the left column
- Years across the top
- Report costs by CAC
- Not sure what final format will look like; probably similar to OPPLAN

Execution Sustainment & R&M(Repair)

Resource Utilization Plan

FY— EXECUTION MAINTENANCE AND REPAIR RESOURCE UTILIZATION PLAN (\$000's)

(ACTIVITY M&R FUNDS ONLY; NO SPECIAL PROJECTS or MINOR CONSTRUCTION)

(ALL COSTS W/O MILITARY LABOR)

See handout Exh. RUP

UIC:

POC:

DSN:

Command:

Enter data for
Past Year ONLY!

Work Classifications	Labor Class Code (2)	Cost Account No. (3)	Past Year		FY— Current Year			FY— Budget Year		
			PY Projected Expenses (4)	Total CY Reqt (5)	Current Year Plan (6)	CY Unfunded (5) - (6)	Total BY Reqt (8)	Budget Year Plan (9)	BY Unfunded (8) - (9)	
1. R&M(Repair) Minors/ Specifics :										
A. AIS Critical DC-1										
B. AIS Deferrable DC-1										
C. Non-AIS R&M(Repair) DC-1 LCC06/07										
D. SUBTOTAL R&M(Repair)										
2. Sustainment Minors/ Specifics :										
A. AIS Critical DC-1										
B. AIS Deferrable DC-1										
C. Non-AIS SUSTAINMENT DC-1 LCC06/07										
3. Sustainment SJOs										
4. E/S Calls										
5. PW SRM Management										
SUBTOTAL SUSTAINMENT										
(FSM)										
6. TOTAL SUSTAINMENT & R&M(Repair)										

These boxes must =
Execution MAP
Totals



Note 1: Unfunded write-ups must be prepared for EACH ENTRY in unfunded cols.; see Comptroller. Separate justifications by SII may be required.

Note 2: R&M(Repair) requirements, cols. 5,8, and 11 based on the DoD Facilities Recapitalization Metric (FRM).

Note 3: Sum of line items

developed

depending

Must agree with SUM of SII ST & R&M(Repair) part of RM SII Execution
controls

well

Execution Maintenance Action Plan

DATE: _____ (MAP)
POC: _____

~~COMMAND:~~

MAP SHOULD REFLECT
OF CRITICAL DC-1 AIS SUSTAINMENT
DEFICIENCIES and DC-1 R&M DEFICIENCIES
REQUIRED TO IMPROVE IRRS Q3 and Q4
CONDITION RATINGS.

How well did I plan 15 months earlier ???

**This part of the
MAP is the plan
prepared for
the Budget
approx. 15
months earlier**

See handout Exh. MAP

Year-end Execution jobs probably will be different from Budget jobs

TOTALS must agree with EXEC. Exh. RUP